

Financial Document Checklist

Bank and Investment Documents

- Recent bank statements (checking, savings, CDs, money market)
- Recent retirement account statements (401(k), IRA, 403(b), pension, etc.)
- Brokerage account statements
- List of stocks or bonds held outside brokerages
- Deferred compensation and stock option agreements
- Annuity statements
- Education savings account statements (529 Plans, Coverdell Savings Accounts, etc.)
- Other (specify) _____

Tax Documents

- Federal and state tax returns from last two years
- W-2s from last two years
- Other (specify) _____

Cash Flow and Liability Documents

- Paycheck stubs
- Social Security Statement
- Budget / Spending Plan
- Mortgage statement
- Loan documents
- Credit card statements
- Other (specify) _____

Insurance Documents

- Life insurance policies and statements
- Homeowners and auto insurance policies and statements
- Umbrella policies and statements
- Disability and long term care policies
- Medicare / Medicaid statements
- Other (specify) _____

Estate Planning Documents

- Will
- Powers of attorney
- Living wills/health directives
- Trust agreements
- Other (specify) _____

Legal Documents

- Pre/postnuptial agreements
- Business agreements
- Divorce decree
- Other (specify) _____